

#1882

## REGULATION ASSIGNMENT CONTROL SHEET

LOG CONTROL NUMBER: 76 ANALYST  
ASSIGNED: AXT

TYPE OF ACTION: AN

TITLE: SCHOOL DROPOUT PREVENTION PROGRAM – NEW  
AWARDS FOR FY 2002

RECEIVED: 3/12/2002

DATE ASSIGNED: 3/12/2002

DATE DUE: 3/18/2002

ANALYST SIGNATURE: Kathleen Axt

COMPLETION DATE: 3/14/02

FINAL APPROVAL: Joseph Schubar DATE: March 14, 2002

OMB NO: 1810-0637 will become 1890-0009

REG PART:

Approved once OMB approves change sheet to transfer this program under the generic grant application, 1890-0009. At that time, the notice may be published.

## Rudolph, Kim

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**From:** Axt, Kathy  
**Sent:** Thursday, March 14, 2002 10:57 AM  
**To:** Cunningham, Marlene  
**Cc:** Rudolph, Kim; Jackson, Christine  
**Subject:** FW: Dropout Notice

RIMG will approve publication of this notice once OMB approves the change sheet which requests the transfer of this program to use the generic application (1890-0009). 1890-0009 will be the new number for this program. Anticipate OMB approval next week



2002Dropout Notice  
3-13-02.do...

REGULATIONS CLEARANCE SHEET  
OFFICE OF THE GENERAL COUNSEL  
DIVISION OF REGULATORY SERVICES (DRS)

DRAFT NO.: Draft No. 1

DATE: 03-12-02

Please review the attached document, check the appropriate box below, sign, and return to DRS (Rm. 6E217, FB-6) by fax at 260-5085 or E-mail your concurrence to Marlene Cunningham by the due date.

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|---|--|
| <input checked="" type="checkbox"/> Exec. Sec., Rm. 7C100 (2) | <input checked="" type="checkbox"/> Legislation 7E255            |
| <input checked="" type="checkbox"/> ODS, Room 7W108           |  |
| <input checked="" type="checkbox"/> OUS, Room 5W311(1)        | <input checked="" type="checkbox"/> Public Affairs, 7E201        |
| PES, Room.6W324 (2)   |  |
| Budget Service, Rm. 5W319 (2)                                 | <input checked="" type="checkbox"/> CFO&CIO, 4E213 (4)           |
| Attn: Jim Butler  |  |
| <input type="checkbox"/> E. Jaso, Dep.GC                      | <input checked="" type="checkbox"/> <del>ING/ING, 5624-ROB</del> |
| <input type="checkbox"/> Assistant General Counsels           | <input checked="" type="checkbox"/> Joe Schubart, 5624           |
| <input checked="" type="checkbox"/> K. Depew, Rm. 6E217       | <input checked="" type="checkbox"/> GPOS, 3652, ROB              |
| <input type="checkbox"/> W. Haubert, 6C106                    |  |
| <input type="checkbox"/> H. Jenkins, 6E203                    |  |
| <input checked="" type="checkbox"/> S. Craig, 6E304           |  |
| <input type="checkbox"/> P. Rosenfelt                         |  |
| <input type="checkbox"/> D. Koepfel, Program Attorney         |  |

CHECK ONE

- |   |
|---|
| <input type="checkbox"/> Approved<br><input type="checkbox"/> Approved subject to minor changes<br><input type="checkbox"/> Not approved—see comments |
|---|

- TYPE AND TITLE OF DOCUMENT: School Dropout Prevention Program - notice inviting applications for new awards for FY 2002 - OESE

RETURN TO: Marlene Cunningham, 6E217

DUE DATE: 03-18-02

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

COMMENTS:

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4000-01-U

DEPARTMENT OF EDUCATION

(CFDA No. 84.360)

School Dropout Prevention Program

AGENCY: Department of Education

ACTION: Notice inviting applications for new awards for fiscal year (FY) 2002.

PURPOSE OF PROGRAM: To support effective, sustainable, and coordinated school dropout prevention and reentry programs that target secondary schools, and the middle schools that feed students into these secondary schools, that have annual school dropout rates that are greater than the average annual school dropout rate for the State.

ELIGIBLE APPLICANTS: State educational agencies (SEAs) and local educational agencies (LEAs).

APPLICATIONS AVAILABLE: [INSERT DATE OF PUBLICATION IN FEDERAL REGISTER]

DEADLINE FOR TRANSMITTAL OF APPLICATIONS: [INSERT DATE 45 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

DEADLINE FOR INTERGOVERNMENTAL REVIEW: [INSERT DATE 105 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

E-MAIL NOTIFICATION OF INTENT TO APPLY FOR FUNDING: We will be able to develop a more efficient process for reviewing

grant applications if we have a better understanding of the number of entities that intend to apply for funding.

Therefore, we strongly encourage each potential applicant to send us a short e-mail indicating its intent to submit an application. The e-mail should only note the applicant's intent to submit an application and should not include information regarding the proposed application. We request that this e-mail notification be sent to dropoutprevention@ed.gov no later than [INSERT DATE 30 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

The notification of intent to apply for funding is optional. Eligible applicants that fail to provide this notification may still submit an application by the application deadline.

ESTIMATED AVAILABLE FUNDS: \$9,000,000.

ESTIMATED ANNUAL RANGE OF AWARDS: \$200,000 - \$500,000.

MAXIMUM AWARD AMOUNT: The maximum amount of funding that an SEA or LEA may receive under this competition is \$500,000 per year.

ESTIMATED NUMBER OF AWARDS: 15 - 20.

NOTE: These estimates are projections for the guidance of potential applicants. The Department is not bound by any estimates in this notice.

PROJECT PERIOD: Up to 36 months. Funding of continuation awards after the initial year of funding is contingent upon future Congressional appropriations for the program. The Administration has not requested funding for this program in its fiscal year 2003 budget proposal.

APPLICABLE REGULATIONS: The Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 75, 77, 79, 80, 81, 82, 85, 97, 98, and 99.

SUPPLEMENTARY INFORMATION:

Description of the Program

The School Dropout Prevention program, authorized under part H of title I of the Elementary and Secondary Education Act, as amended, supports effective, sustainable, and coordinated school dropout prevention and reentry programs in secondary schools that have annual school dropout rates above the State average annual school dropout rate and in the middle schools that feed students into these schools. Each grant recipient must implement dropout prevention and reentry strategies that are scientifically based, sustainable, and have been widely replicated.

These strategies may include --

- (1) Specific strategies for targeted purposes, such as --

(a) Effective early intervention programs designed to identify at-risk students;

(b) Effective programs serving at-risk students, including racial and ethnic minorities and pregnant and parenting teenagers, designed to prevent such students from dropping out of school; and

(c) Effective programs to identify and encourage youth who have already dropped out of school to reenter school and complete their secondary education; and

(2) Approaches such as breaking larger schools into smaller learning communities and other comprehensive reform approaches, creating alternative school programs, and developing clear linkages to career skills and employment.

The dropout prevention and reentry strategies supported with funds under this program must be coordinated with strategies supported with other resources. Applicants should describe specific collaboration and coordination activities that would be conducted under their project. Potential applicants should analyze existing programs and resources in order to identify gaps in the delivery of services and to implement comprehensive programs that would provide supplemental instructional services in a manner that would best address the needs of the targeted populations. In developing their proposals, applicants

should conduct a thorough review of the literature on dropout prevention and reentry strategies and assess the performance of those strategies.

Dropout prevention and reentry programs that are supported with funds made available under this competition may involve such activities as --

(1) Securing services from community-based organizations or other government agencies if the funds are used to provide school dropout prevention and reentry activities related to schoolwide efforts;

(2) Professional development;

(3) Obtaining curricular materials;

(4) Release time for professional staff to obtain professional development;

(5) Planning and research;

(6) Remedial education;

(7) Reduction in pupil-to-teacher ratios;

(8) Efforts to meet State student academic achievement standards;

(9) Counseling and mentoring for at-risk students;

(10) Implementing comprehensive school reform models, such as creating smaller learning communities; and

(11) School reentry activities.

The particular activities that are supported with funds under the School Dropout Prevention program must not be isolated activities, but must be part of a sustained, coordinated approach to meet specific and measurable goals, objectives, and outcomes.

SELECTION CRITERIA:

We will use the following selection criteria in evaluating applications for grants. The maximum score for the selection criteria is 100 points. The maximum score for each criterion is indicated in parenthesis. The specific selection criteria and factors that will be used in evaluating applications are detailed in the application package.

- (1) Need for project (15 points)
- (2) Quality of design (20 points)
- (3) Quality of project services (20 points)
- (4) Quality of management plan (15 points)
- (5) Quality of project personnel (10 points)
- (6) Adequacy of resources (10 points)
- (7) Quality of project evaluation (10 points)

APPLICATIONS:

We are taking steps to adopt the Internet as our chief means of conducting transactions in order to improve services to our customers and to simplify and expedite our

business practices. Submission of an electronic application involves the use of the Electronic Grant Application System (e-APPLICATION, formerly e-GAPS) portion of the Grant Administration and Payment System (GAPS). We strongly encourage you to electronically submit your application to us. However, you may submit your application in paper format if you prefer to do so.

You may obtain the grant application for the School Dropout Prevention program at:

<http://e-grants.ed.gov>

You may also obtain a copy of the application package from the contact person identified under FOR FURTHER INFORMATION CONTACT.

FOR FURTHER INFORMATION CONTACT: Christine Jackson, School Dropout Prevention Program, Academic Improvement and Demonstration Programs, Office of Elementary and Secondary Education, U.S. Department of Education, 400 Maryland Avenue, SW., Room 2W104, FOB-6, Washington, DC 20202-6254. Telephone: (202) 260-2516. E-mail: [christine.jackson@ed.gov](mailto:christine.jackson@ed.gov). Individuals who use a telecommunications device for the deaf (TDD) may call the Federal Information Relay Service (FIRS) at 1-888-877-8339.

Individuals with disabilities may obtain this notice in an alternative format (e.g., Braille, large print,

audiotape, or computer diskette) on request to the contact person listed in the preceding paragraph. Please note, however, that the Department is not able to reproduce in an alternative format the standard forms included in the notice.

#### Electronic Access to This Document

You may view this document, as well as all other Department of Education documents published in the Federal Register, in text or Adobe Portable Document Format (PDF) on the Internet at the following site:

<http://www.ed.gov/legislation/FedRegister>

To use PDF, you must have the Adobe Acrobat Reader, which is available free at the previous sites. If you have questions about using the PDF, call the U.S. Government Printing Office (GPO) at (202) 512-1530 or, (toll free, at 1-888-293-6498), or in the Washington, DC area at (202) 512-1530.

NOTE: The official version of this document is the document published in the Federal Register. Free Internet access to the official edition of the Federal Register and the Code for Federal Regulations is available on GPO Access at:

<http://www.access.gpo.gov/nara/index.html>

PROGRAM AUTHORITY: 20 U.S.C. 6551 et seq.

Dated:

Susan B. Neuman, Ed.D.  
Assistant Secretary for  
Elementary and Secondary  
Education.