

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement, and any additional documentation to: **Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street, NW, Washington, DC 20503.**

1. Agency/Subagency originating request <i>United States Department of Education, Planning and Evaluation Service</i>	2. OMB control number a. 1875 - 0154 b. <input type="checkbox"/> None
3. Type of information collection (check one) a. <input type="checkbox"/> New collection b. <input type="checkbox"/> Revision of currently approved collection c. <input checked="" type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change , of a previously approved collection for which approval had expired e. <input type="checkbox"/> Reinstatement, with change , of a previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without OMB control number <i>For b-f, note Item A2 of Supporting Statement instructions</i>	4. Type of review requested (check one) a. <input checked="" type="checkbox"/> Regular b. <input type="checkbox"/> Emergency-Approval requested by: c. <input type="checkbox"/> Delegated 5. Small entities: Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 6. Requested expiration date: a. <input type="checkbox"/> Three years from approval date b. <input checked="" type="checkbox"/> Other specify 12/31/04

7. Title
 National Evaluation of Upward Bound and Upward Bound Math Science

8. Agency form number(s) (if applicable)
 The OMB number associated with this study is OMB #1875-0154. This number will expire on March 31, 2002.

9. Keywords:
 Upward Bound; Upward Bound Math Science; TRIO programs; Random assignment; Longitudinal evaluation; Transition services for disadvantaged high school students; Preparation for postsecondary education.

10. Abstract:
 This request is for updated clearance for the Upward Bound Fourth Follow Up and the Math Science First Follow Up as well as new clearance for the Upward Bound Fifth Follow Up and the Math Science Second Follow Up. These data collections are part of the National Evaluation of Upward Bound that has been on-going since 1992. The studies are following a sample of 4,728 participants and control group students through high school and into young adulthood. The study is looking at academic achievement, college participation rates, and employment patterns.

11. Affected public (Mark Primary with "P" and all others with "X") a. <u>P</u> Individuals or households d. <input type="checkbox"/> Farms b. <input type="checkbox"/> Business or other for-profit e. <input type="checkbox"/> Federal Government c. <input type="checkbox"/> Not-for-profit institutions f. <input type="checkbox"/> State, Local, or Tribal Gov't.	12. Obligation to respond (Mark primary with "P" and all others that apply with "X") a. <u>P</u> Voluntary b. <input type="checkbox"/> Required to obtain or retain benefits c. <input type="checkbox"/> Mandatory
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13. Annual reporting and record keeping hour burden a. Number of respondents (based on 83% response rate for surveys and 90% response rate for transcripts) <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:70%;">1. Fourth Follow Up Survey</td> <td style="width:10%; text-align: right;">915</td> <td style="width:20%;">(to completion)</td> </tr> <tr> <td>2. Math Science First Follow Up Survey</td> <td style="text-align: right;">387</td> <td>(to completion)</td> </tr> <tr> <td>3. Fifth Follow Up Survey</td> <td style="text-align: right;">2361</td> <td></td> </tr> <tr> <td>4. Math Science Second Follow Up Survey</td> <td style="text-align: right;">1472</td> <td></td> </tr> <tr> <td>5. 2002 Transcripts (regular UB + MSC)</td> <td style="text-align: right;">4155</td> <td></td> </tr> <tr> <td>6. 2004 Transcripts (regular UB + MSC)</td> <td style="text-align: right;">4155</td> <td></td> </tr> <tr> <td></td> <td style="text-align: right; border-top: 1px solid black;"><u>13,445</u></td> <td></td> </tr> </table> b. Total burden hours requested <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:70%;">1. Fourth Follow Up Survey</td> <td style="width:10%; text-align: right;">305</td> <td style="width:20%;">(to completion)</td> </tr> <tr> <td>2. Math Science First Follow Up Survey</td> <td style="text-align: right;">129</td> <td>(to completion)</td> </tr> <tr> <td>3. Fifth Follow Up Survey</td> <td style="text-align: right;">787</td> <td></td> </tr> <tr> <td>4. Math Science Second Follow Up Survey</td> <td style="text-align: right;">491</td> <td></td> </tr> <tr> <td>5. 2002 Transcripts (regular UB + MSC)</td> <td style="text-align: right;">693</td> <td></td> </tr> <tr> <td>6. 2004 Transcripts (regular UB + MSC)</td> <td style="text-align: right;">693</td> <td></td> </tr> <tr> <td></td> <td style="text-align: right; border-top: 1px solid black;"><u>3098</u></td> <td></td> </tr> </table> c. Current OMB inventory <u>3098</u>	1. Fourth Follow Up Survey	915	(to completion)	2. Math Science First Follow Up Survey	387	(to completion)	3. Fifth Follow Up Survey	2361		4. Math Science Second Follow Up Survey	1472		5. 2002 Transcripts (regular UB + MSC)	4155		6. 2004 Transcripts (regular UB + MSC)	4155			<u>13,445</u>		1. Fourth Follow Up Survey	305	(to completion)	2. Math Science First Follow Up Survey	129	(to completion)	3. Fifth Follow Up Survey	787		4. Math Science Second Follow Up Survey	491		5. 2002 Transcripts (regular UB + MSC)	693		6. 2004 Transcripts (regular UB + MSC)	693			<u>3098</u>		14. Annual reporting and recordkeeping cost burden (in thousands of dollars) <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:70%;">a. Total annualized capital/startup costs</td> <td style="width:30%; text-align: right;">0</td> </tr> <tr> <td>b. Total annual costs (O&M)</td> <td style="text-align: right;">0</td> </tr> <tr> <td>c. Total annualized cost requested</td> <td style="text-align: right;">0</td> </tr> <tr> <td>d. Current OMB inventory</td> <td style="text-align: right;"><u>0</u></td> </tr> <tr> <td>e. Difference (+/-)</td> <td></td> </tr> <tr> <td>f. Explanation of difference</td> <td></td> </tr> </table>	a. Total annualized capital/startup costs	0	b. Total annual costs (O&M)	0	c. Total annualized cost requested	0	d. Current OMB inventory	<u>0</u>	e. Difference (+/-)		f. Explanation of difference	
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15. Purpose of information collection (Mark primary with "P" and all others that apply with "X") a. <input type="checkbox"/> Application for benefits e. <input checked="" type="checkbox"/> Program planning or mgmt. b. <u>P</u> Program evaluation f. <input checked="" type="checkbox"/> Research c. <input type="checkbox"/> General purpose statistics g. <input type="checkbox"/> Regulatory or compliance d. <input type="checkbox"/> Audit	16. Frequency of recordkeeping or reporting (check all that apply) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure c. <input checked="" type="checkbox"/> Reporting: <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:33%;">1. <input checked="" type="checkbox"/> On occasion</td> <td style="width:33%;">2. <input type="checkbox"/> Weekly</td> <td style="width:33%;">3. <input type="checkbox"/> Monthly</td> </tr> <tr> <td>4. <input type="checkbox"/> Quarterly</td> <td>5. <input type="checkbox"/> Semi-annually</td> <td>6. <input type="checkbox"/> Annually</td> </tr> <tr> <td>7. <input type="checkbox"/> Biennially</td> <td colspan="2">8. <input type="checkbox"/> Other (describe) _____</td> </tr> </table>	1. <input checked="" type="checkbox"/> On occasion	2. <input type="checkbox"/> Weekly	3. <input type="checkbox"/> Monthly	4. <input type="checkbox"/> Quarterly	5. <input type="checkbox"/> Semi-annually	6. <input type="checkbox"/> Annually	7. <input type="checkbox"/> Biennially	8. <input type="checkbox"/> Other (describe) _____	
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17. Statistical methods Does this information collection employ statistical methods? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	18. Agency contact (person who can best answer questions regarding the content of this submission) Name: <u>David Goodwin</u> Phone: <u>202-401-3630</u>
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20. Certification for Paperwork Reduction Act Submissions:

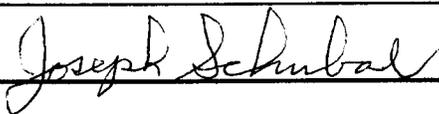
On behalf of this federal agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

NOTE: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (i) Why the information is being collected;
 - (ii) Use of information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
 - (v) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of information to be collected (see note in Item 19 of the instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee:		Date:	<i>Feb. 20, 2002</i>
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For Department of Education Internal Use	
I certify that the information collection being submitted to the Senior Official, or designee, encompassed by this request complies with 5 CFR 1320.9, as summarized above. <i>(Assistant Secretary signature required for emergency reviews.)</i>	
Signature of Assistant Secretary or designee:	Date: